





PATENTS 112056-0123 P01-1572

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:	)	
Robert L. Fair et al.	)	
	)	Examiner: Not yet assigned
Serial No.: 10/705,470	)	
	)	
Filed: November 10, 2003	)	Art Unit: 2184
	)	
For: METHOD FOR DETECTING	)	
LEAKED BUFFER WRITES	)	
ACROSS WAFL CONSISTENCY	)	
POINTS	,	
		Cesari and McKenna, LLP
4.		88 Black Falcon Avenue
		Boston, MA 02210
		December 3, 2004

"Express Mail" Mailing-Label Number: EV 336756775 US

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## **INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

Duane H. Dreger

Reg. No. 48,836

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Complete if Known

10/705,470

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**Application Number** 

substitute for form 1449A/PTO

Sheet

## **INFORMATION DISCLOSURE** STATEME

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		OLOGGINE	Filing Date	November 10, 2003	
NT B	Y AI	PPLICANT	First Named Inventor	Robert L. Fair et al. 2184 Not yet assigned	
			Group Art Unit	2184	
many she	ets as	necessary)	Examiner Name	Not yet assigned	
	of	5	Attorney Docket Number	112056-0123	

	_			U.S. PATENT DOCUMEN	TS	
Examiner Initials *	Cite No. <sup>t</sup>	l Number	ocument d Code <sup>2</sup> known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		10/027,634		EDWARDS et al.		U.S. Patent Application filed December 21, 2001 entitled FILE SYSTEM DEFRAGMENTATION TECHNIQUE VIA WRITE ALLOCATION
==		10/215,917		PAWLOWSKI et al.		U.S. Patent Application filed August 9, 2002 entitled MULTI-PROTOCOL STORAGE APPLIANCE THAT PROVIDES INTEGRATED SUPPORT FOR FILE AND BLOCK ACCESS PROTOCOLS
		10/705,493		HAMILTON et al.		U.S. Patent Application filed November 10, 2003 entitled SYSTEM AND METHOD FOR MANAGING FILE DATA DURING CONSISTENCY POINTS
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Examine		Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines,					
r Initials*	Cite No. <sup>1</sup> Office <sup>3</sup> Numb		Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>				
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitut	e for form 1449A/PTO				Complete if Known			
				Application Number	10/705,470			
INFC	PRMATION	DIS	CLOSURE	Filing Date	November 10, 2003			
STA	TEMENT BY	/ Al	PPLICANT	First Named Inventor	Robert L. Fair et al.			
				Group Art Unit	2184			
	(use as many shee	ets as	necessary)	Examiner Name	Not yet assigned			
Sheet	2	of	5	Attorney Docket Number	112056-0123			

				U.S. PATENT DOCUMEN	TS	
		U.S. Patent D	ocument	Name of Patentee or Applicant	Date of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials *	Cite No.1	l Number	d Code <sup>2</sup> nown)	of Cited Document	Publication of Cited Document MM-DD-YYYY	
		6,065,037		HITZ et al.	05-16-2000	
		US2002/011 6593	A1	KAZAR et al.	08-22-2002	
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Examine		Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,					
r Initials*	Cite No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>				
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Substitut	e for form 1449A/PTO				Complete if Known
				Application Number	10/705,470
INFC	DRMATION	DIS	CLOSURE	Filing Date	November 10, 2003
STA	TEMENT BY	YA	PPLICANT	First Named Inventor	Robert L. Fair et al.
				Group Art Unit	2184
	(use as many shee	ets as	necessary)	Examiner Name	Not yet assigned
Sheet	3	of	5	Attorney Docket Number	112056-0123

	U.S. PATENT DOCUMENTS											
Examiner Initials *	Cite No.1	I Niimhar	i Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear						
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FOREIGN PATENT DOCUMENTS											
	Fo	oreign Patent Do	cument	Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
Cite No.1	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> ( <i>if known</i> )		Cited Document MM- DD-YYYY		T <sub>e</sub>				
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	Cite No. <sup>1</sup>	Cite No.1	Cite No 1	Office <sup>3</sup> Number <sup>4</sup> Kind Code	Cite No.1 Applicant of Cited  Applicant of Cited  Applicant of Cited	Cite No.1 Cite No.1 Cite No.1 Cite Occurrent MM-	Cite No.1  Cite No.1  Applicant of Cited Document MM-  Document  D				

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Substitute for form 1449A/PTO Complete if Known **Application Number** 10/705,470 INFORMATION DISCLOSURE Filing Date November 10, 2003 STATEMENT BY APPLICANT First Named Inventor Robert L. Fair et al. **Group Art Unit** 2184 (use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet of **Attorney Docket Number** 112056-0123

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"NETWORK APPLIANCE ENDS NAS/SAN WAR" by COMPUTERWIRE, http://www.theregister.co.uk/content/63/27368.html; posted on February 10, 2002	
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OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		Asante Desktop EN/SC Adapters User's Manual April 1996			
		Performance Without Compromise: The Virtual Storage Architecture 1997			
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